



the dtic

Department:
Trade, Industry and Competition
REPUBLIC OF SOUTH AFRICA

the dtic, 77 Meintjies Street, Sunnyside, Pretoria
Tel (012) 394 5000

The Manager

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.....

Sir/Madam

**SERVICE: BID dtic 02/25-26: TO INVITE INTERESTED SERVICE PROVIDERS TO
SUBMIT PROPOSALS TO PROVIDE RESEARCH SERVICES TO the dtic
FOR A PERIOD OF THREE YEARS.**

the dtic requires services as described per attached bid invitation, and you are requested to complete the bidding documents and to submit it in accordance with the under-mentioned stipulations:

- The conditions contained in the attached documents apply.
- The tender must be submitted in a sealed envelope with the **name and address of the tenderer with contact details, the theme the bidder is applying for, the tender number and closing date indicated on the envelope**. If the two-envelope system is required in the Terms of Reference/Specification, the same action must be followed on both envelopes. The cover or envelope must not contain documents relating to any tender other than that shown on the cover or envelope.
- ***Tenders submitted per mail must be sent per registered mail. The tender must still reach this office before the closing time. Failure to do so will invalidate the bid.***
- The closing date of the tender will be at **11h00 on 13 JUNE 2025** and will be valid for a period of **120 days** after closing date (up to and including 11 October 2025).
- **All communication regarding this tender must be done in writing.**

You are advised to acquaint yourself with the contents of the attached General Conditions of Contract as well as terms of reference/specification.

It will be expected of the successful bidder to sign a Service Level Agreement at this office as soon as possible, after being notified of the acceptance of his/her tender.

Bidders will be afforded an opportunity to pose questions relating to the ToR / bid process up to 7 calendar days prior to bid closure.

Questions raised after this period will no longer be responded to.

All questions posed and responded to by the dtic will be advertised on the e-tender portal of National Treasury and the dtic's website during the final week of bid advertisement on the same advert as the original bid advertisement.

NB: BIDS NOT SUBMITTED ON THE DUE DATE AND TIME IN THE BID BOX OF the dtic AND BIDS RECEIVED AFTER THE CLOSING TIME AND DATE ARE LATE AND WILL AS A RULE NOT BE ACCEPTED FOR CONSIDERATION

BID OFFICE: the dtic

POPIA CONSENT FORM

The Bidder and the proposed team/ individuals proposed by the Bidding entity to perform work in line with the requirements stipulated in this tender document, hereby give their consent to **the dtic** and its Officials involved in the evaluation / recommendation / award / drafting of SLA / verification of submissions and advertising of results of bid in line with National Treasury Instructions, to process our personal information for all purposes related to this tender process and possible subsequent contract, in accordance with the provisions of the Protection of Personal Information Act.

.....

Signature

.....

Date

.....

Position

.....

Name of bidder



the dtic

Department:
Trade, Industry and Competition
REPUBLIC OF SOUTH AFRICA

PLEASE TAKE NOTE:

TENDER NO: dtic 02/25-26

CLOSING TIME: 11:00

CLOSING DATE: 13 JUNE 2025

BIDS NOT SUBMITTED ON THE DUE DATE AND TIME AND BIDS RECEIVED AFTER THE CLOSING TIME AND DATE ARE LATE AND WILL AS A RULE NOT BE ACCEPTED FOR CONSIDERATION.

BID dtic 02/25-26: TO INVITE INTERESTED SERVICE PROVIDERS TO SUBMIT PROPOSALS TO PROVIDE RESEARCH SERVICES TO the dtic FOR A PERIOD OF THREE YEARS.

The SBD 1, SBD 3.3, SBD 4, and SBD 6.1 FORMS MUST BE COMPLETED AND SIGNED IN THE **ORIGINAL** THAT IS IN INK – PHOTOCOPIED SIGNATURES OR OTHER SUCH REPRODUCTION OF SIGNATURES **MAY INVALIDATE** YOUR BID.

BID DOCUMENTS MUST BE POSTED TO:

Department of Trade, Industry and Competition

Private Bag x 84

PRETORIA

0001

FOR ATTENTION: YVETTE CRONJE (Block B)

OR

HAND DELIVERED BIDS / BIDS SENT BY
COURIER:

**THE BID DOCUMENTS MUST BE
DEPOSITED IN THE BID BOX WHICH
IS IDENTIFIED AS THE BID BOX
OF THE DEPARTMENT OF TRADE,
INDUSTRY AND COMPETITION the dtic,
77 MEINTJIES STREET (CORNER OF
MEINTJIES AND ROBERT SOBUKWE
STREETS) SUNNYSIDE, PRETORIA**

please see picture of entrance >

SUBMIT ALL BIDS ON THE ORIGINAL FORMS



BIDS SUBMITTED ELECTRONICALLY VIA EMAIL OR ANY OTHER SIMILAR APPARATUS WILL NOT BE ACCEPTED FOR CONSIDERATION.

BIDDERS MUST ENSURE THAT BIDS ARE DELIVERED TO THE CORRECT ADDRESS AND PLACED IN THE BID BOX OF the dtic. IF THE BID IS LATE / NOT PLACED IN THE BID BOX OF the dtic ON CLOSING DATE AND TIME IT WILL NOT BE ACCEPTED FOR CONSIDERATION.

CHECKLIST FOR BIDDERS

Please indicate “**Yes**” / “**No**” adjacent to each column. This is to ensure that bidders complete, sign and submit all the required documentation.

| BID REQUIREMENT | YES / NO |
|---|----------|
| Are you registered on the Central Supplier Database of National Treasury? Non-compliance will lead to automatic disqualification. | |
| Bidders must ensure that their tax matters are in order in line with the Treasury Regulations and reflect accordingly on the CSD. Bidder's whose tax matters are not declared to be in order will be disqualified. Are your tax matters in order? | |
| Did you complete and sign the POPIA Consent form? | |
| Did you complete and sign the SBD 1 form? | |
| Did you complete the SBD 3.3 pricing schedule in full? Failure to complete this document in full, and as per the stipulated requirements may lead to the disqualification of a proposal due to the necessity of having comparative prices. | |
| Two-envelope / file format will apply. Did you submit your financial proposal (SBD3.3) in a separate file, and did you ensure that there are NO financial information in your functional proposal? Non-compliance will lead to automatic disqualification | |
| Did you complete and sign the SBD 4 form? | |
| Did you complete and sign the SBD 6.1 form with required proof to substantiate any claims for preferential procurement goals? No points will be allocated if claims, certificates / affidavits / proof to substantiate claims are not in line with the prescripts to validate any claim. | |

PART A INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE DEPARTMENT OF TRADE INDUSTRY AND COMPETITION (the dtic)

| | | | | | |
|-------------|---------------|---------------|--------------|---------------|----------|
| BID NUMBER: | dtic 02/25-26 | CLOSING DATE: | 13 JUNE 2025 | CLOSING TIME: | 11:00 am |
|-------------|---------------|---------------|--------------|---------------|----------|

| | |
|-------------|--|
| DESCRIPTION | TO INVITE INTERESTED SERVICE PROVIDERS TO SUBMIT PROPOSALS TO PROVIDE RESEARCH SERVICES TO the dtic FOR A PERIOD OF THREE YEARS. |
|-------------|--|

BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)

THE DEPARTMENT OF TRADE, INDUSTRY AND COMPETITION (the dtic)
77 MEINTJIES STREET (CORNER OF MEINTJIES AND ROBERT SOBUKWE STREETS), SUNNYSIDE, PRETORIA

| | |
|---|--|
| BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO | TECHNICAL ENQUIRIES MAY BE DIRECTED TO: |
|---|--|

| | | | |
|------------------|------------------------|------------------|------------------------|
| CONTACT PERSON | Ms. Yvette Cronje | CONTACT PERSON | Mr. Nkosiyomzi Madula |
| TELEPHONE NUMBER | | TELEPHONE NUMBER | |
| E-MAIL ADDRESS | YCronje@thedtic.gov.za | E-MAIL ADDRESS | NMadula@thedtic.gov.za |

SUPPLIER INFORMATION

| | | | | | |
|---|--|--|--|-------------------------------|--|
| NAME OF BIDDER | | | | | |
| POSTAL ADDRESS | | | | | |
| STREET ADDRESS | | | | | |
| TELEPHONE NUMBER | CODE | | NUMBER | | |
| CELLPHONE NUMBER | | | | | |
| FACSIMILE NUMBER | CODE | | NUMBER | | |
| E-MAIL ADDRESS | | | | | |
| VAT REGISTRATION NUMBER | | | | | |
| SUPPLIER COMPLIANCE STATUS | TAX COMPLIANCE SYSTEM PIN: | | OR | CENTRAL SUPPLIER DATABASE No: | MAAA |
| ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED? | <input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF] | | ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED? | | <input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER PART B:3] |

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

| | |
|--|--|
| IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| DOES THE ENTITY HAVE A BRANCH IN THE RSA? | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA? | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA? | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION? | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW. | |

PART B

TERMS AND CONDITIONS FOR BIDDING

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|--|
| 1. BID SUBMISSION: |
| 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION. |
| 1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED– (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT. |
| 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT AS WELL AS THE SCM POLICY OF the dtic . |
| 1.4. THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7) AND SLA. |
| 2. TAX COMPLIANCE REQUIREMENTS |
| 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS. |
| 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS. |
| 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA. |
| 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID. |
| 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER. |
| 2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED. |
| 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE." |

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:
(Proof of authority must be submitted e.g. company resolution)

NAME AND SURNAME:

DATE:

**GOVERNMENT PROCUREMENT
GENERAL CONDITIONS OF CONTRACT**

NOTES

The purpose of this document is to:

- (i) Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
- (ii) To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.
- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.

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General Conditions of Contract

1. Definitions

1. The following terms shall be interpreted as indicated:
 - 1.1 “Closing time” means the date and hour specified in the bidding documents for the receipt of bids.
 - 1.2 “Contract” means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
 - 1.3 “Contract price” means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.
 - 1.4 “Corrupt practice” means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution.
 - 1.5 "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.
 - 1.6 “Country of origin” means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.
 - 1.7 “Day” means calendar day.
 - 1.8 “Delivery” means delivery in compliance of the conditions of the contract or order.
 - 1.9 “Delivery ex stock” means immediate delivery directly from stock actually on hand.
 - 1.10 “Delivery into consignees store or to his site” means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.
 - 1.11 "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the RSA.
 - 1.12 "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.

- 1.13 “Fraudulent practice” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.
- 1.14 “GCC” means the General Conditions of Contract.
- 1.15 “Goods” means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.
- 1.16 “Imported content” means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.
- 1.17 “Local content” means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.
- 1.18 “Manufacture” means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.
- 1.19 “Order” means an official written order issued for the supply of goods or works or the rendering of a service.
- 1.20 “Project site,” where applicable, means the place indicated in bidding documents.
- 1.21 “Purchaser” means the organization purchasing the goods.
- 1.22 “Republic” means the Republic of South Africa.
- 1.23 “SCC” means the Special Conditions of Contract.
- 1.24 “Services” means those functional services ancillaries to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such obligations of the supplier covered under the contract.
- 1.25 “Written” or “in writing” means handwritten in ink or any form of electronic or mechanical writing.

2. Application

- 2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.

| | | |
|--|-----|---|
| | 2.2 | Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works. |
| | 2.3 | Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply. |
| 3. General | 3.1 | Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged. |
| | 3.2 | With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from www.treasury.gov.za |
| 4. Standards | 4.1 | The goods supplied shall conform to the standards mentioned in the bidding documents and specifications. |
| 5. Use of contract documents and information; inspection. | 5.1 | The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance. |
| | 5.2 | The supplier shall not, without the purchaser's prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract. |
| | 5.3 | Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser. |
| | 5.4 | The supplier shall permit the purchaser to inspect the supplier's records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser. |
| 6. Patent rights | 6.1 | The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser. |
| 7. Performance security | 7.1 | Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC. |
| | 7.2 | The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract. |
| | 7.3 | The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms: |

- (a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
- (b) a cashier's or certified cheque

7.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

8. Inspections, tests and analyses

8.1 All pre-bidding testing will be for the account of the bidder.

8.2 If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.

8.3 If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.

8.4 If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.

8.5 Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.

8.6 Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.

8.7 Any contract supplies may on or after delivery be inspected, tested or analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with supplies which do comply with the requirements of the contract. Failing such removal, the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.

8.8 The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.

9. Packing

- 9.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.
- 9.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

10. Delivery and documents

- 10.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.
- 10.2 Documents to be submitted by the supplier are specified in SCC.

11. Insurance

- 11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.

12. Transportation

- 12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

13. Incidental Services

- 13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:
- (a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
 - (b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
 - (c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;
 - (d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and
 - (e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.
- 13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

14. Spare parts

- 14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:
- (a) such spare parts as the purchaser may elect to purchase from the

- supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and
- (b) in the event of termination of production of the spare parts:
 - (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
 - (ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

15. Warranty

- 15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.
- 15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.
- 15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.
- 15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.
- 15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

16. Payment

- 16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.
- 16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.
- 16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.
- 16.4 Payment will be made in Rand unless otherwise stipulated in SCC.

17. Prices

- 17.1 Prices charged by the supplier for goods delivered and services

performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.

18. Contract amendments

18.1 No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.

19. Assignment

19.1 The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.

20. Subcontracts

20.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contract if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.

21. Delays in the supplier's performance

21.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.

21.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.

21.3 No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.

21.4 The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.

21.5 Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.

21.6 Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without canceling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

22. Penalties

22.1 Subject to GCC Clause 25, if the supplier fails to deliver any or all of

the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

23. Termination for default

23.1 The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- (a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;
- (b) if the Supplier fails to perform any other obligation(s) under the contract; or
- (c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

23.2 In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

23.3 Where the purchaser terminates the contract in whole or in part, the purchaser may decide to impose a restriction penalty on the supplier by prohibiting such supplier from doing business with the public sector for a period not exceeding 10 years.

23.4 If a purchaser intends imposing a restriction on a supplier or any person associated with the supplier, the supplier will be allowed a time period of not more than fourteen (14) days to provide reasons why the envisaged restriction should not be imposed. Should the supplier fail to respond within the stipulated fourteen (14) days the purchaser may regard the intended penalty as not objected against and may impose it on the supplier.

23.5 Any restriction imposed on any person by the Accounting Officer / Authority will, at the discretion of the Accounting Officer / Authority, also be applicable to any other enterprise or any partner, manager, director or other person who wholly or partly exercises or exercised or may exercise control over the enterprise of the first-mentioned person, and with which enterprise or person the first-mentioned person, is or was in the opinion of the Accounting Officer / Authority actively associated.

23.6 If a restriction is imposed, the purchaser must, within five (5) working days of such imposition, furnish the National Treasury, with the following information:

- (i) the name and address of the supplier and / or person restricted by the purchaser;
- (ii) the date of commencement of the restriction
- (iii) the period of restriction; and

(iv) the reasons for the restriction.

These details will be loaded in the National Treasury's central database of suppliers or persons prohibited from doing business with the public sector.

23.7 If a court of law convicts a person of an offence as contemplated in sections 12 or 13 of the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004, the court may also rule that such person's name be endorsed on the Register for Tender Defaulters. When a person's name has been endorsed on the Register, the person will be prohibited from doing business with the public sector for a period not less than five years and not more than 10 years. The National Treasury is empowered to determine the period of restriction, and each case will be dealt with on its own merits. According to section 32 of the Act the Register must be open to the public. The Register can be perused on the National Treasury website.

24. Anti-dumping and countervailing duties and rights

24.1 When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or

services which he delivered or rendered or is to deliver or render in terms of the contract or any other contract or any other amount which may be due to him.

25. Force Majeure

25.1 Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.

25.2 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

26. Termination for insolvency

26.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

27. Settlement of Disputes

- 27.1 If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 27.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.
- 27.3 Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.
- 27.4 Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.
- 27.5 Notwithstanding any reference to mediation and/or court proceedings herein,
- (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
 - (b) the purchaser shall pay the supplier any monies due the supplier.

28. Limitation of Liability

- 28.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;
- (a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and
 - (b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.

29. Governing Language

- 29.1 The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.

30. Applicable Law

- 30.1 The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.

31. Notices

- 31.1 Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice
- 31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.

32. Taxes and Duties

- 32.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.
- 32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.
- 32.3 No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.

33. National Industrial Participation (NIP) Programme

- 33.1 The NIP Programme administered by the Department of Trade, Industry and Competition shall be applicable to all contracts that are subject to the NIP obligation.

34 Prohibition of Restrictive practices

- In terms of section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder (s) is / are or a contractor(s) was / were involved in collusive bidding (or bid rigging).
- 34.2 If a bidder(s) or contractor(s), based on reasonable grounds or evidence obtained by the purchaser, has / have engaged in the restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.
 - 34.3 If a bidder(s) or contractor(s), has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.

PRICING SCHEDULE
(Professional Services)
FIXED PRICING

NAME OF BIDDER: BID NO. **dtic 02/25-26**

CLOSING TIME **11:00**

CLOSING DATE: **13 JUNE 2025**

OFFER TO BE VALID FOR **120** DAYS FROM THE CLOSING DATE OF BID.

NB! FINANCIAL INFORMATION MUST BE SUBMITTED IN THE FINANCIAL PROPOSAL ENVELOPE ONLY. NO FINANCIAL INFORMATION IS ALLOWED IN THE FUNCTIONAL PROPOSAL. FAILURE TO COMPLY HEREWITH WILL LEAD TO AUTOMATIC DISQUALIFICATION.

| ITEM NO | DESCRIPTION | BID PRICE IN RSA CURRENCY INCL VAT *(ALL APPLICABLE TAXES INCLUDED) |
|---------|-------------|--|
|---------|-------------|--|

1. Appointment of Strategic Research Partners.

2. The accompanying information stipulated in the ToR must be used for the formulation of proposals.

3. The contract will be based on hourly rates which **must be fixed** and travel and accommodation costs which will be paid in line with set prescripts.

4. PERSONS / STAFF AND POSITIONS REQUIRED FOR THIS BID:

| 4.1 | Hourly rate (Year 1) | Hourly rate (Year 2) | Hourly rate (Year 3) |
|--------------------------------------|----------------------|----------------------|----------------------|
| Project Leader: _____ | R _____ | R _____ | R _____ |
| Senior team member no 1: _____ | R _____ | R _____ | R _____ |
| Senior team member no 2 _____ | R _____ | R _____ | R _____ |
| Senior team member no 3 _____ | R _____ | R _____ | R _____ |
| Total hourly rate per year: | R _____ | R _____ | R _____ |
| Average hourly rate per year: | R _____ | R _____ | R _____ |

Average hourly rate for 3-year period will be used for price comparison purposes in line with the 80/20 principle.

5. **Average hourly rate** for 3-year period = total of Year 1 + total of Year 2 + total of Year 3 / divided by 3: R..... (comparative price)

6. Period required for commencement with project after acceptance of bid

7. **ARE YOUR HOURLY RATES QUOTED FIXED FOR THE FULL PERIOD OF THE CONTRACT:** YES / NO

8. **Compliance with Cost Containment Measures:**

8.1 Air Travel will be restricted to Economy Class.

8.2 Claims for kilometers may not exceed the latest rates published by The Department of Transport.

8.3 Accommodation – as stipulated in the ToR / in line with latest approved rates from National Treasury / **the dtic**.

8.4 Parking expenses will not be for **the dtic's** account.

8.5 Travelling costs and time spent or incurred between the home and office of the consultants, including their staff and **the dtic** head office **will not be** for the account of **the dtic**.

8.6 Where the investigation project has travel and accommodation cost implications, these costs must be pre-approved by the Project Manager.

* "all applicable taxes" includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies.

BIDDERS WHO SUBMIT FINANCIAL PROPOSALS BASED ON NON-FIXED PRICES OR BASED ON ANY ADDITIONAL PRICES OTHER THAN THOSE REQUIRED IN PAR. 4 ABOVE WILL AUTOMATICALLY BE DISQUALIFIED AS IT WILL NOT BE POSSIBLE TO HAVE COMPARRABLE PRICES

BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

- 2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state?

YES/NO

- 2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

¹ The power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

| Full Name | Identity Number | Name of State institution |
|-----------|-----------------|---------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?

YES/NO

2.2.1 If so, furnish particulars:

.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

YES/NO

2.3.1 If so, furnish particulars:

.....

3 DECLARATION

I, (name and surname) the undersigned, in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

- 3.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of bidder

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

- 1.1 The following preference point systems are applicable to invitations to tender:
- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
 - the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

- 1.2 The applicable preference point system for this tender is the **80/20** preference point system.

- 1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

- 1.4 The maximum points for this tender are allocated as follows:

| | POINTS |
|--|------------|
| PRICE | 80 |
| SPECIFIC GOALS | 20 |
| Total points for Price and SPECIFIC GOALS | 100 |

- 1.5 **Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.**

- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

80/20

$$Ps = 80 \left(1 - \frac{Pt - Pmin}{Pmin} \right)$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

4. POINTS AWARDED FOR SPECIFIC GOALS

- 4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

Table 1: Specific goals for the tender and points claimed are indicated per the table below. Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

| The specific goals allocated points in terms of this tender | Number of points allocated per goal (80/20 system) (dtic MUST complete) | Do you wish to claim points for this goal: Indicate YES or NO (Bidder MUST complete) | Please indicate the number of points you claim per goal. (80/20 system) (Bidder MUST complete) |
|---|---|--|--|
| <u>BEE Compliance:</u> Based on Section 10 of the B-BBEE Act (Act 53 of 2003 as amended by Act 46 of 2013). (Relevant point qualified for will be divided by 2 as a maximum of 10 points can be scored on this element). | 10 | | |
| <u>Local Procurement:</u> The stimulation of the local economy by procuring from enterprises located within the borders of South Africa (All Provinces are therefore included) | 6 | | |
| <u>HDI:</u> Black People/Women/Disabled People | 2 | | |
| <u>SMME's:</u> The promotion of small businesses as defined in the National Small Business Act, 1963 (Act 102 of 1996 and the Amendment Act, Act 29 of 2004). | 2 | | |

DECLARATION WITH REGARD TO COMPANY/FIRM

4.2. Name of company/firm.....

4.3. Company registration number:

4.4. TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One-person business/sole propriety
- ☐ Close corporation
- ☐ Public Company
- ☐ Personal Liability Company
- ☐ (Pty) Limited
- ☐ Non-Profit Company
- ☐ State Owned Company

[TICK APPLICABLE BOX]

- 4.5. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:
- i) The information furnished is true and correct;
 - ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
 - iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.1, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
 - iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
 - (a) disqualify the person from the tendering process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution, if deemed necessary.

The following proof to substantiate preferential claims is required and MUST be submitted together with your bid proposal:

- 4.6 For claims based on B-BBEE compliance based on Section 10 of the B-BBEE Act (Act 53 of 2003 as amended by Act 46 of 2013): A Valid Certified B-BBEE certificate **OR** Valid Certified CIPC B-BBEE certificate **OR** Valid Certified Sworn Affidavit.
- 4.7 For claims based on Local Procurement (Provincial): Proof of address (municipal rates account **OR** bank statements **OR** lease agreement **OR** certified affidavit **OR** CSD report).
- 4.8 For claims based on HDI (Race, Women or People with disabilities): Copy of CIPC (Companies and Intellectual Property Commission) registration, copies of ID's as well as CSD (Central Supplier Database) report and for claims based on disability a letter from a specialist / doctor certifying disability must be submitted to substantiate claims based on disability.

- 4.9 For claims based on Small Medium Micro Enterprises (SMME's): SARS return indicating annual turnover **OR** Certified Sworn Affidavit indicating annual turnover.
- 4.10 **Failure on the part of a service provider to submit proof or documentation required in terms of this ToR to claim points for specific goals, may be interpreted to mean that preference points for specific goals are not claimed and no points will be allocated.**
- 4.11 Bidders that choose to claim preference points must do so by completing the SBD 6.1 and provide the necessary proof to substantiate the claim(s) at the time of submitting the bid proposal in the functional proposal envelope. **It is the responsibility of the bidder to attach the relevant proof for each goal claimed to the SBD 6.1 form. FAILURE TO DO SO MAY RESULT IN NO POINTS ALLOCATED FOR PREFERENTIAL GOALS.**

| | |
|-------------------|-----------------------------|
| | |
| | SIGNATURE(S) OF TENDERER(S) |
| SURNAME AND NAME: | |
| DATE: | |
| ADDRESS: | |
| | |
| | |
| | |

TERMS OF REFERENCE

Request for Proposals for the Appointment of Strategic Research Partners to the Department of Trade, Industry and Competition (the dtic) for a period of three (3) years.

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Acronyms and Abbreviations

| Acronym | Description |
|-----------------|---|
| B-BBEE | Broad-Based Black Economic Empowerment |
| PPPFA | Preferential Procurement Policy Framework Act |
| RB | Research Branch |
| RFP | Request for Proposals |
| SRP | Strategic Research Partner/Partnership |
| SAQA | South African Qualifications Authority |
| the dtic | The Department of Trade, Industry and Competition |
| ToR | Terms of Reference |

REQUEST FOR PROPOSALS (RFP):

The Department of Trade, Industry and Competition (**the dtic**) invites interested service providers to submit proposals for consideration to be appointed as Strategic Research Partners to **the dtic** for a period of 3 years.

NOTE: Should a vendor have reason to believe that the Terms of Reference are not open to promote competition or that they are written based on a particular brand/product/entity; the vendor shall notify the Bid Office of **the dtic** within **ten (10)** calendar days after the publication of the bid.

1. PURPOSE

- 1.1 The purpose of the Terms of Reference (ToR) is to invite interested service providers to submit proposals to be considered for appointment as strategic research partners (SRPs) to **the dtic** for a period of 3 years.

2. BACKGROUND

- 2.1 The Department of Trade, Industry and Competition (**the dtic**) is mandated with facilitating and promoting the South African economic growth that is characterised by competitiveness, equity, inclusiveness and decent employment. **the dtic's** strategic objectives are to:
- 2.1.1 Facilitate the transformation of the economy to promote industrial development, investment, competitiveness and employment creation;
 - 2.1.2 Build mutually beneficial regional and global relations to advance South Africa's trade, industrial policy and economic development objectives;
 - 2.1.3 Facilitate broad-based black economic empowerment through targeted interventions to achieve more inclusive growth;
 - 2.1.4 Create a fair regulatory environment that enables investment, trade and enterprise development in an equitable and socially responsible manner; and
- 2.2 Research and capacity building is integral to the work of Government and **the dtic**, therefore, requires access to world-class, credible research organisations with a range of technical expertise to assist with the implementation of its research agenda.

- 2.3 This (TOR) invites research organisations/companies to submit proposals to be considered for appointment as SRPs to **the dtic** for a period of 3 years.

3. OBJECTIVES

- 3.1 The main objective of establishing strategic research partnerships is to ensure that **the dtic** has a reliable and prompt access to research expertise, capacity and infrastructure to achieve the following specific objectives:

- 3.1.1 Produce high-quality in-depth research outputs to support the achievement of **the dtic's** strategic objectives;
- 3.1.2 Improve the effectiveness and efficiency of the research commissioning process;
- 3.1.3 Build **the dtic's** internal research and analytical skills through well-designed and focused capacity building and training interventions; and
- 3.1.4 Improve the quality of **the dtic's** research reports and policy advocacy by providing peer review, editing, design, layout and publishing functions.

4. SCOPE OF WORK

- 4.1 Appointed strategic research partners will be contracted for a period of 3 years to deliver the following services, as and when research needs have been identified in relevant research priority areas:
- 4.1.1 Conduct research, collect and geo-locate data, analyse data, and provide policy advice on identified research topics. Whenever a research need is identified, **the dtic** will develop terms of reference and issue them to one of the appointed strategic research partners to submit responsive technical and financial proposals;
 - 4.1.2 Build **the dtic's** internal research capacity through skills transfer interventions.
 - 4.1.3 SRPs are expected to be able to provide a full-service offering in their chosen thematic areas.
 - 4.1.4 In collaboration with **the dtic**, maximise the impact of research conducted by or on behalf of **the dtic** through active participation in policy dialogues, public debates and other relevant discussion forums.
- 4.2 Strategic research partners are required in the following research themes and focus areas:

| Research Theme | Possible focus areas/research studies |
|---------------------------------------|---|
| Theme 1: Industrial policy | <ul style="list-style-type: none"> • Research/analysis on the comparative experiences of countries in implementing industrial policy and lessons for SA. • Analysis of the challenges and opportunities in the manufacturing sector; focus on key established, emerging and future growth sectors. • On-going collection of data and analysis of manufacturing sector/sub-sector performance, emerging risks and growth opportunities. • Technical/research support to identified Masterplan processes. • Identification and analysis of opportunities for 'localisation'. • Benchmarking of industrial policy programmes/interventions to SA firms and various peer countries. • Development of strategies, systems and processes for analysing compliance with local content policies. • Research support for supplier development, including analysis of the support required, proposed support interventions, and analysis of impact. • Analysis of skills needs across key Manufacturing sectors and sub-sectors, proposed interventions and analysis of impact. • On-going collection and analysis of data about the economic infrastructure upon which Manufacturing depends such as Ports, Rail, and Electricity infrastructure. • Targeted research to identify opportunities to develop the 'green economy' including <i>inter alia</i> electric vehicles, renewable energy, and the hydrogen economy. • Analysis of comparative experience in providing industrial finance to strengthen Manufacturing sectors and sub-sectors. • Development of policy interventions to support labour-intensive economic activities. • Development of policy interventions to grow Services sectors and sub-sectors. |

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| <p>Theme 2:</p> <p>Economic Transformation</p> | <ul style="list-style-type: none"> • Comparative research of country experiences in growing under-developed regions such as SA townships and rural economies. • On-going collection and analysis of SA data showing the extent of economic participation of black people, women, youth and people with Disabilities in the SA economy. • Development of policy and research briefs on contemporary issues in economic transformation, including strengthening policy and programmatic interventions such as the Black Industrialists Programme. • Provision of policy and research briefs on improving the effectiveness of the dtic's programmes concerning inclusive growth agenda. • Identification of economic opportunities and development of appropriate instruments (e.g. incubation centres, industrial parks and the like) to stimulate and support local economic development. • Conduct qualitative and quantitative economic research, collect data on the B-BBEE certificates issued by practitioners, analyse data/information gathered, conduct trends analysis and produce sectoral reports on economic transformation. Information gathering should consist mostly of primary data collated from in-depth interviews with relevant stakeholders, desktop research, and literature review. • Compile Annual National Status Reports with findings on B-BBEE-related matters by analysing sectoral reports and compliance reports from JSE-listed companies, organs of state and public entities, as well as information from the B-BBEE Portal System managed by B-BBEE Commission and any other relevant data sources. • Analyses of major B-BBEE transactions registered with the B-BBEE Commission, calculate the total value of registered transactions, value creation per sector, ownership in line with requirements in Code Series 100, impact of B-BBEE transactions and produce a report with recommendations. • Conduct any B-BBEE-related research that may be deemed necessary when required by the B-BBEE Commission. |
| <p>Theme 3:</p> <p>Spatial Industrial Development</p> | <ul style="list-style-type: none"> • Geo-locating, <i>inter alia</i>, economic activity, infrastructure, government policy and financial support, economic opportunities, SEZs, local industrial parks, digital hubs and industrial clusters. |

| | |
|--|---|
| | <ul style="list-style-type: none"> On-going collection and analysis of socio-economic data disaggregated to the 52 District Development Model (DDM) districts and metro areas including identification of economic opportunities, coordination and infrastructure challenges, and identifying district industrial development and export opportunities. Profiling of the impact of the dtic and its entities' projects/programmes. Provision of policy and research briefs on improving the effectiveness of the special economic zones, local industrial parks, digital hubs and related industrial infrastructure. |
| Theme 4: Economic Modelling and Forecasting | <ul style="list-style-type: none"> On-going economic modelling and forecasting support on various economic themes. Impact of contemporary developments on manufacturing sectors/sub-sectors for example exchange rate movements, carbon border taxes, global economic developments, and major SA policy developments; and Impact of government policy and financial support to manufacturing sectors/sub-subsectors. |
| Theme 5: Impact Assessment | <ul style="list-style-type: none"> Development of M&E frameworks for monitoring and reporting on the implementation of the dtic and its entities' programmes. Comprehensive review, monitoring and evaluation, impact evaluation, and impact assessment of key programmes of the dtic and its entities. Benchmarking of the support provided to sectors of the economy in SA and comparator countries. Research and policy support for the design of new programmes and policies where ongoing M&E will be required. Analysis of the adherence of firms to regulatory matters within the mandate of the dtic and its entities, such as competition settlement agreements, BEE Commission findings, and Consumer Tribunal decisions. |
| Theme 6: Trade and Investment | <ul style="list-style-type: none"> Creating an enabling environment for foreign and domestic private sector investment; investigating ways for increasing gross fixed capital formation and its various forms (i.e. GFCF as a percentage of GDP) and ongoing analysis of trends in domestic investment and FDI. On-going analyses of trends and developments in intra- and inter-regional trade in goods and services; trade facilitation measures; |

| | |
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| | <p>trade policy measures; and preferential trade policies and agreements.</p> <ul style="list-style-type: none"> • Ongoing research and policy advice to improve the ease of doing business in SA. • Ongoing research and policy advice on contemporary global, regional and domestic developments that potentially impact SA. • Provision of export market intelligence in specified manufacturing sectors and sub-sectors and/or country markets. • Analysis of export competitiveness in both goods and services. |
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- 4.3 Up to 3 strategic research partners per theme will be appointed.
- 4.4 Bidders can submit proposals for more than one theme but should have the capacity to deliver on research requests if appointed to more than one theme.
- 4.5 If bidders would like to apply for more than one theme, they must submit separate proposals for each theme.
- 4.6 Proposals must conform to the format in **Annexure A** of this TOR.

5. DELIVERABLES

- 5.1 The following minimum deliverables will be expected from work that will be commissioned under each theme:

5.1.1 Research and Economic Analysis:

- Substantive research reports:** these will be research outputs of primary and/or secondary data analysis on a specific research topic; and include recommendations to address the relevant findings of the research commissioned. Depending on the scope of the commissioned research, these reports can be for knowledge generation purposes or to inform specific interventions.
- Position or occasional papers:** preliminary papers on a specific topic presented to share ideas and elicit feedback from relevant stakeholders and intended audience.
- Economic policy briefs:** short analytical papers on important economic policy issues based on theoretical and empirical perspectives.

- d) **Economic modelling and forecasting and scenario reports:** analytical reports that also provide policy implications and recommendations for the scenarios predicted/assumed.
- e) **Spatial economic planning reports:** reports that will map spatial economic activities and opportunities in selected regions.

6. RELEVANT QUALIFICATIONS, EXPERIENCE AND EXPERTISE

6.1 For ease of evaluating proposals, bidders must propose a project team consisting of:

6.1.1 One (1) Team Leader and Three (3) Senior Team Members. The project team should have the following minimum academic qualifications and relevant work experience:

| Research & analysis themes | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|---|--|--|
| Theme 1: Industrial Policy | <ul style="list-style-type: none"> • Master's Degree in Industrial Policy or Economics. (Foreign qualifications must be accompanied by SAQA evaluation reports) • Minimum of 20 to 24 years combined experience in industrial/sectoral policy research and design, policy development, and development of sector strategies or developmental programmes. This must be complemented by in-depth knowledge of South Africa's industrial policy environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends. • In-depth knowledge of the Theme must be evidenced by a minimum of 5 relevant projects completed or publications. <i>Copies of</i> | <ul style="list-style-type: none"> • Honours Degree in Industrial Policy or Economics. (Foreign qualifications must be accompanied by SAQA evaluation reports) • Minimum of 5 to 9 years combined experience per each member in conceptualising, designing and conducting research studies using appropriate approaches (qualitative, quantitative or mixed methods). This must be complemented by in-depth knowledge of South Africa's industrial policy environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends. • In-depth knowledge of the theme and experience in writing research reports, position papers, and policy briefs must be evidenced by a |

| Research analysis themes & | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|---|--|---|
| | <p><i>executive summaries of the 5 project reports or publications should be submitted with the bid.</i> The following information about the reports must be provided: name of the project, year written, brief description, client/publisher/journal (see Annexure A for the table format required).</p> <ul style="list-style-type: none"> NB: Where the report/publication was co-authored, the Team Leader should indicate his/her specific contributions. 5 years experience working with, and advising, the government on policies and programmes (evidenced by at least two recent reference letters not older than 5 years). | <p>minimum of six (minimum of 2 per Senior Team Member) executive summaries/abstracts of projects, assignments of relevant work done or publications in these areas or relevant publications. The following information about the reports must be provided: name of the project, year written, brief description, client/publisher/journal (see Annexure A for the table format required).</p> <ul style="list-style-type: none"> NB: Where the report/publication was co-authored, individual members should indicate their specific contributions. |
| Theme 2: Economic Transformation | <p>Master's Degree in Economics or Law. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> Minimum of 20 to 24 years combined experience in industrial/sectoral policy research and design, policy development, and development of sector strategies or developmental programmes. This must be complemented by in-depth knowledge of South Africa's transformation landscape; | <p>Honours Degree in Economics, or law. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> Minimum of 5 to 9 years combined experience per each member in conceptualising, designing and conducting research studies using appropriate approaches (qualitative, quantitative or mixed methods). This must be complemented by in-depth |

| Research & analysis themes | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|--|---|---|
| | <p>Broad-Based Black Economic Empowerment Act; Codes of Good Practice; B-BBEE Regulations; and other relevant supporting legislation.</p> <ul style="list-style-type: none"> • In-depth knowledge of the Theme must be evidenced by a minimum of 5 relevant projects completed or publications. <i>Copies of executive summaries of the 5 project reports or publications should be submitted with the bid.</i> The following information about the reports must be provided: name of the project, year written, brief description, client/publisher/journal (see Annexure A for the table format required). • NB: Where the report/publication was co-authored, the Team Leader should indicate his/her specific contributions. • 5 years experience working with, and advising the government on policies and programmes (evidenced by at least two recent reference letters not older than 5 years). | <p>knowledge of South Africa's transformation landscape; Broad-Based Black Economic Empowerment Act; Codes of Good Practice, B-BBEE Regulations; and other relevant supporting legislation; and industrial policy environment.</p> <ul style="list-style-type: none"> • In-depth knowledge of the theme and experience in writing research reports, position papers, and policy briefs must be evidenced by a minimum of six (minimum of 2 per Senior Team Member) executive summaries/abstracts of projects or assignments of relevant work done or publications in these areas. The following information about the reports must be provided: name of the project, year written, brief description, client (see Annexure A for the table format required). • NB: Where the report/publication was co-authored, individual members should indicate their specific contributions. |
| Theme 3: Spatial Industrial Development | Master's Degree in Economics or Urban and Regional Planning. (Foreign qualifications must be | Honours Degree in Economics or Urban and Regional Planning. (Foreign qualifications must be |

| Research themes & analysis themes | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|-----------------------------------|--|---|
| | <p>accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum of 20 to 24 years combined experience in industrial and sectoral policy research and design; spatial policy development; and development of sector strategies or developmental programmes. This must be complemented by in-depth knowledge of South Africa's industrial and spatial development policy environment; key government socio-economic policies and priorities; industry dynamics; and industrial trends. • In-depth knowledge of the Theme must be evidenced by a minimum of 5 relevant projects completed or publications. <i>Copies of executive summaries of the 5 project reports or publications should be submitted with the bid.</i> The following information about the reports must be provided: name of the project, year written, brief description, client/publisher/journal (see Annexure A for the table format required). • NB: Where the report/publication was co-authored, the Team Leader should indicate his/her specific contributions. | <p>accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum of 5 to 9 years combined experience per each member in conceptualising, designing and conducting research studies using appropriate approaches (qualitative, quantitative or mixed methods). This must be complemented by in-depth knowledge of South Africa's industrial policy and spatial development environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends. • In-depth knowledge of the theme and experience in writing research reports, position papers, and policy briefs must be evidenced by a minimum of six (minimum of 2 per Senior Team Member) executive summaries/abstracts of projects or assignments of relevant work done or publications in these areas. The following information about the reports must be provided: name of the project, year written, brief description, client (see Annexure A for the table format required). • NB: Where the report/publication was co-authored, individual members |

| Research & analysis themes | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|--|---|--|
| | <ul style="list-style-type: none"> • 5 years experience working with, and advising, the government on industrial/spatial development policies and programmes (evidenced by at least two recent reference letters not older than 5 years). | <p>should indicate their specific contributions.</p> |
| Theme 4: Economic Modelling and Forecasting | <p>Masters Degree in Econometrics or Economics with Econometrics/Modelling and Forecasting as courses- (evidenced by transcripts) or Statistics. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum of 20 to 24 years combined experience in applied microeconomics, data manipulation and interpretation, economic modelling and forecasting, scenario planning and modelling, economic research/analysis and Economic modelling and forecasting report writing. This must be complemented by in-depth knowledge of South Africa's industrial policy environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends. • In-depth knowledge of the Theme must be evidenced by a minimum of 5 relevant projects completed or | <p>Honours degree in Econometrics or Economics, with majors in Econometrics or Statistics. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum 5 to 9 years combined experience in applied microeconomics; data manipulation and interpretation, economic modelling and forecasting, scenario planning and modelling and forecasting, economic modelling and forecasting report writing, economic analysis and ability to interpret economic policy outcomes. This must be complemented by in-depth knowledge of South Africa's industrial policy environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends. • In-depth knowledge of the theme and experience in writing research reports, position papers, and policy briefs must be evidenced by a |

| Research analysis themes & | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|---|---|--|
| | <p>publications. <i>Copies of executive summaries of the 5 project reports or publications should be submitted with the bid.</i> The following information about the reports must be provided: name of the project, year written, brief description, client/publisher/journal (see Annexure A for the table format required).</p> <ul style="list-style-type: none"> • NB: Where the report/publication was co-authored, the Team Leader should indicate his/her specific contributions. • 5 years experience working with, and advising the government on policies and programmes (evidenced by at least two recent reference letters not older than 5 years). | <p>minimum of six (minimum of 2 per Senior Team Member) executive summaries/abstracts of projects or assignments of relevant work done or publications in these areas. The following information about the reports must be provided: name of the project, year written, brief description, client (see Annexure A for the table format required).</p> <ul style="list-style-type: none"> • NB: Where the report/publication was co-authored, individual members should indicate their specific contributions. |
| Theme 5: Monitoring & Evaluation | <p>Masters Degree in Economics or Monitoring and Evaluation. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum of 20 to 24 years combined experience in socio-economic research, programme design, programme monitoring and evaluation, conducting impact assessment studies and M&E methods. • In-depth knowledge of the Theme must be evidenced | <p>Honours Degree in Economics, or Monitoring and Evaluation. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum of 5 to 9 years combined experience per each member in conceptualising, designing and conducting research studies, evaluation studies and impact assessments using appropriate approaches (qualitative, quantitative or mixed methods). This must be |

| Research analysis themes & | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|---|---|--|
| | <p>by a minimum of 5 relevant projects completed or publications. <i>Copies of executive summaries of the 5 project reports or publications should be submitted with the bid.</i> The following information about the reports must be provided: name of the project, year written, brief description, client/publisher/journal (see Annexure A for the table format required).</p> <ul style="list-style-type: none"> • NB: Where the report/publication was co-authored, the Team Leader should indicate his/her specific contributions.). • 5 years experience working with, and advising, the government on policies and programmes (evidenced by at least two recent reference letters not older than 5 years). | <p>complemented by in-depth knowledge of South Africa's industrial policy environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends. In-depth knowledge of the theme and experience in writing research reports, position papers, and policy briefs must be evidenced by a minimum of six (minimum of 2 per Senior Team Member) executive summaries/abstracts of projects or assignments of relevant work done or publications in these areas. The following information about the reports must be provided: name of the project, year written, brief description, client (see Annexure A for the table format required).</p> <ul style="list-style-type: none"> • NB: Where the report/publication was co-authored, individual members should indicate their specific contributions. |
| <p>Theme 6:</p> <p>Trade and Investment</p> | <p>Masters in Economics or International Trade, or Development Finance. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum of 20 to 24 years combined experience in socio-economic research, surveys, and economic analysis of trade and investment-related topics. | <p>Honours in Economics or International Trade, or Development Finance. (Foreign qualifications must be accompanied by SAQA evaluation reports)</p> <ul style="list-style-type: none"> • Minimum of 5 to 9 years combined experience per each member in conceptualising, designing and conducting research studies using appropriate |

| Research analysis themes & | Team Leader's minimum qualifications and experience | 3 Senior Team Members' minimum qualifications and experience |
|----------------------------|--|--|
| | <p>This must be complemented by in-depth knowledge of South Africa's industrial policy environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends.</p> <ul style="list-style-type: none"> • In-depth knowledge of the Theme must be evidenced by a minimum of 5 relevant projects completed or publications. <i>Copies of executive summaries of the 5 project reports or publications should be submitted with the bid.</i> The following information about the reports must be provided: name of the project, year written, brief description, client/publisher/journal (see Annexure A for the table format required). • NB: Where the report/publication was co-authored, the Team Leader should indicate his/her specific contributions. • 5 years experience working with, and advising the government on policies and programmes (evidenced by at least two recent reference letters not older than 5 years). | <p>approaches (qualitative, quantitative or mixed methods). This must be complemented by in-depth knowledge of South Africa's industrial policy environment, key government socio-economic policies and priorities, industry dynamics, and industrial trends.</p> <ul style="list-style-type: none"> • In-depth knowledge of the theme and experience in writing research reports, position papers, and policy briefs must be evidenced by a minimum of six (minimum of 2 per Senior Team Member) executive summaries/abstracts of projects or assignments of relevant work done or publications in these areas. The following information about the reports must be provided: name of the project, year written, brief description, client (see Annexure A for the table format required). • NB: Where the report/publication was co-authored, individual members should indicate their specific contributions. |

6.1.2 All relevant information about experience and qualifications must be included in the proposal in the **required format**. CVs and certified copies of qualifications of the

proposed Team Leader and Senior Team members must be provided, with key information required clearly marked. CVs must not be more than 10 pages. **For theme 4, please also include academic transcripts.**

6.1.3 Foreign qualifications must be accompanied by the SAQA evaluation reports.

6.2 The Bidding Organisation's Relevant Experience:

6.2.1 Bidding organisations must have extensive experience in conducting policy research and advising the government of South Africa on the relevant theme/s for which proposals are submitted. This must be evidenced by a list, and copies, of 5 to 7 executive summaries of research reports completed/produced, and/or abstracts of peer-reviewed publications in accredited journals published, in the past 10 years, accompanied by reference letters/certificates of completion, if not a publication; as well as a list of 2 advisory assignments, accompanied by reference letters/certificates of completion. The list should show the following information: *name of the project, client and contact details, objectives of the project, dates conducted, and outcome of the project (see Annexure A for the table format required).*

6.3 NB: the dtic reserves the right to:

6.3.1 Vet all documentation and information provided by bidders to prove their relevant experience and ability to perform the service.

6.3.2 Terminate the membership of any strategic research partner who fails to respond to requests for proposals for three consecutive times.

6.3.3 Terminate the membership of any strategic research partner who fails to meet the minimum threshold of 70 points in functionality evaluation for three consecutive times.

6.3.4 Strategic research partners will be rated by the project manager and the steering committee members or chairperson, in this regard, **the dtic** reserves the right to terminate the membership of and flag for non-performance any strategic research partner who fails to reach a score of 50% for three consecutive times.

6.3.5 The bidder must have current technical and logistical capacity to perform the work required. **the dtic** reserves the right to perform due diligence on facilities, resources, and capacity of any bidder before appointment as a service provider.

7. SPECIAL CONDITIONS

7.1 Service Level Agreements will be signed with the successful bidders.

7.2 A Service Level Agreement is not a guarantee that an appointed partner will be given work.

7.3 Work will be commissioned as and when there is a need.

- 7.4 In commissioning research work, the **dtic** will issue Terms of Reference and request a strategic research partner to submit a proposal.
- 7.6 Commissioning of work will be done on a rotational basis. The first assignment in a theme area will be allocated to the partner who scored the highest overall points during price and preferential evaluation of this bid and the second assignment to the partner who scored the second highest overall points and the third assignment to the partner who scored third highest overall points during price and preferential evaluation phase.
- 7.7 Estimated maximum number of hours per project will be specified in the TORs and partners will be required to strictly adhere to these estimates.
- 7.8 During the contract period submitted proposals will be evaluated for their responsiveness to the TOR provided at that stage and scored according to the set evaluation criteria. Proposals that do not reach the minimum score for functionality will not be accepted and the next strategic partner in the rotation will be requested to submit a proposal. Reasons for not reaching the cut-off score during functional evaluation will be provided to the partner to ensure an open and fair process and guidance for future proposals.
- 7.9 The proposed project team must participate actively and be available to perform services in accordance with the SLA and the project implementation plan. In instances where a proposed key staff member is not available to perform services at a specific period in time, the strategic research partner will be responsible for providing a replacement with similar qualifications and experience to guarantee the same standard of work to **the dtic**.
- 7.10 As previously indicated **the dtic** reserves the right to vet all qualifications and other documentation provided by bidders to prove relevant qualifications, experience and expertise before the appointment of a Service Provider;
- 7.11 Copyright and intellectual property rights to all documentation, reports etc. that emanate from this assignment will vest with **the dtic**.
- 7.12 This bid and all contracts emanating therefrom will be subject to the General Conditions of Contract issued by Treasury Regulation 16A published in terms of the Public Finance Management Act, 1999 (Act 1 of 1999). Special Conditions of Contract are supplementary to that of the General Conditions of Contract. Not all bids will contain special conditions of the contract. Where, however, the Special Conditions of Contract conflict with the General Conditions of Contract, the Special Conditions of Contract prevail.
- 7.13 Bids received after the closing date and time, at the address indicated in the bid documents, will not be accepted for consideration and where possible, be returned unopened to the bidder.
- 7.14 Bidders' attention is drawn to the fact that amendments to any of the Bid Conditions or setting of counter conditions by bidders may result in the invalidation of such bids.
- 7.15 The State reserves the right to conduct supplier due diligence before the final award or at any time during the contract period. This may include site visits.

- 7.16 The Bid Office Officials of **the dtic** may communicate with bidders where clarity is sought after the closing date of the bid and before the award of the contract, or to extend the validity period of the bid, if necessary.
- 7.17 All communication between the bidder and the Bid Office Officials of the dtic must be done in writing.
- 7.18 Bidders must ensure that they are registered on the Central Supplier Database of the National Treasury as this is compulsory for bidders to be considered for bids.
- 7.19 Bidders must ensure that their tax matters are in order in line with the Preferential Procurement Policy Framework Act and the Treasury Regulations.
- 7.20 Bidders' whose tax matters are not declared to be in order will be disqualified.
- 7.21 Bidders' attention is drawn to the tax requirements stated on the SBD 1 form.
- 7.22 Where applicable acceptance of a bid will be subject to the condition that both the contracting firm and its personnel providing the service must be cleared by the appropriate authorities to the level of CONFIDENTIAL/SECRET/TOP SECRET (whichever one is stipulated in the relevant specification / TOR). Obtaining a positive recommendation is the responsibility of the contracting firm concerned. If the principal contractor appoints a subcontractor, the same provisions and measures will apply to the subcontractor. Acceptance of the tender is also subject to the condition that the contractor will implement all such security measures as the safe performance of the contract may require." (Minimum Information Security Standards. Chapter 5).
- 7.22 The points scored for functionality, price and B-BBEE points will be rounded off to the nearest 2 decimals.
- 7.23 In cases where the tenderer use sub-contracting, then it is the responsibility of the tenderer to select competent sub-contractors that meet all the requirements of the tender in order to ensure that the bidders tender is not jeopardized by the subcontractor during evaluation. Bidders are responsible for all due diligence on their subcontractors.
- 7.24 In cases where the above market-related prices are quoted the right is reserved to negotiate with the three preferred bidders (three highest on final points for price and preferential procurement points).
- 7.25 Bidders are to take note that the award of the tender may be subject to price negotiation with the preferred bidder.
- 7.26 This bid is subject to the PPPFA and its Regulations and the dtic's SCM Policy.
- 7.27 Bidders must submit concrete proof of the existence of joint ventures and/or consortium arrangements. the dtic will accept signed agreements as acceptable proof of the existence of a joint venture and/or consortium arrangement.
- 7.28 The joint venture and/or consortium agreements must clearly set out the roles and responsibilities of the Lead Partner and the joint venture and/or consortium party. The agreement must also clearly identify the Lead Partner, who shall be given the power

of attorney to bind the other party/parties in respect of matters pertaining to the joint venture and/or consortium arrangement.

- 7.29 Regulation 13 (c) of the Public Service Regulations 2016 determines that an employee shall not conduct business with an organ of state or be a director of a public or private company conducting business with an organ of state unless such employee is in an official capacity a director of a company listed in schedule 2 and 3 of the Public Finance Management Act. **As this regulation prohibits public service employees from conducting business with an organ of state; either in a personal capacity or as a director of a private or public company, non-compliance with this regulation will lead to automatic bid disqualification.**
- 7.30 **the dtic** reserves the right to:
- 7.30.1 Award this tender to a bidder that did not score the highest total number of points, only in accordance with section 2(1) (f) of the PPPFA (Act 5 of 2000).
 - 7.30.2 Negotiate with one or more preferred bidder(s) identified in the evaluation process, regarding any terms and conditions, including price without offering the same opportunity to any other bidder(s) who has not been awarded the status of the preferred bidder(s).
 - 7.30.3 Accept part of a tender rather than the whole tender.
 - 7.30.4 Carry out site inspections, product evaluations or explanatory meetings to verify the nature and quality of the services offered by the bidder(s), whether before or after adjudication of the Bid.
 - 7.30.5 Correct any mistakes at any stage of the tender that may have been in the Bid documents or occurred at any stage of the tender process.
 - 7.30.6 Cancel and/or terminate the tender process at any stage, including after the Closing Date and/or after presentations have been made, and/or after tenders have been evaluated and/or after the preferred bidder(s) have been notified of their status as such.
 - 7.30.7 Award to multiple bidders based either on size or geographic considerations.
 - 7.30.8 Bidders will be allowed to submit queries or request clarification **up to one week before the closing date of this bid**. Thereafter no queries/clarification requests will be responded to.
- 7.31 If the bidder failed to comply with any of the administrative pre-qualification requirements, or if **the dtic** is unable to verify whether the pre-qualification requirements are met, then **the dtic** reserves the right to –
- Reject the bid and not evaluate it, or

Accept the bid for evaluation, on condition that the bidder must submit within seven (7) calendar days any supplementary information to achieve full compliance, provided that the supplementary information is administrative and not substantive in nature

- 7.32 Incomplete pricing will lead to automatic disqualification as it will not be possible to compare the price with other bid prices.
- 7.33 Pricing in figures and pricing in words must be the same. Any discrepancy in these two will result in the pricing in figures will be deemed the bid price and will be used for comparison and contract purposes.
- 7.34 Additional pricing may also lead to automatic disqualification in case where it hinders the comparison of prices (comparing apples with apples).
- 7.35 Bidders will be afforded an opportunity to pose questions relating to this bid/ToR up to 7 calendar days after the date of advert (e.g. if bid is advertised on the 10th of a month the 17th till 24h00 will be the last date and time to pose questions). Questions raised will NOT be responded to one by one. The Bid Office of the dtic will respond to all questions posed within three working days after the 7 calendar days afforded to pose questions. The response(s) will be provided by means of an addendum to the original advertisement and advertised on the e-tender portal of National Treasury as well as the dtic's website where the tender invitation is posted.

8. PRICE

- 8.1 Bidders must indicate professional hourly rates of the proposed project team consisting of 1 Project Leader and 3 Senior Team members on the **SBD 3.3** pricing schedule. An hourly rate per person per year is required to make provision for automatic price increases in year 2 and 3 as fixed / firm pricing is a condition of this tender. Bidders will therefore have to provide pricing as indicated in the below table and these will be the only rates applicable during the contract period. No other price escalations will be considered during the contract period and bid proposals based on non-fixed / non-firm prices will automatically be disqualified. The average hourly rates will be used for price comparison purposes in line with the 80/20 principle as indicated on the SBD 3.3.

| PERSON | HOURLY RATE YEAR 1 | HOURLY RATE YEAR 2 | HOURLY RATE YEAR 3 |
|---------------------------|-----------------------|-----------------------|-----------------------|
| Project leader | R | R | R |
| Senior Team Member (1) | R | R | R |
| Senior Team Member (2) | R | R | R |
| Senior Team Member (3) | R | R | R |

- 8.2 The hourly rates quoted must be inclusive of VAT and all applicable taxes and quoted in RSA currency.

- 8.3 Hourly rates **MUST** be market related and comply with the latest prescribed cost-containment measures. Previous hourly rates quoted will also serve as a guide to determine cost effectiveness.
- 8.4 The hourly rates as quoted on the **SBD 3.3** will form part of the Service Level Agreement and will apply in response to the **dtic's** Request for Proposals.
- 8.5 There will be instances where additional resources such as research field workers, analysts etc. will be required or form part of the project team for specific research projects/assignments in response to **the dtic's**. Request for Proposal, however, the hourly rates quoted for these additional resources will have to be in line with current prescribed rates (via National Treasury / a Policy of **the dtic**) and must be market related.
- 8.6 Where the commissioned projects have travel and accommodation cost implications (excluding normal overhead costs), these costs will be claimed in accordance with the government's cost containment measures and the rates prescribed by the National Treasury. A travel schedule will have to be submitted to the **dtic** for approval. No unauthorised travel and accommodation costs will be reimbursed. Only economy tickets may be purchased, and 3-star accommodation may be booked. Claims for kilometres may not exceed the rates approved by the Automobile Association of South Africa.
- 8.7 Any claims for travel and accommodation must be accompanied by receipts and boarding passes.

9. the dtic OBLIGATIONS

- 9.1 **the dtic** Project Manager will serve as the contact person on all matters relating to the project;
- 9.2 **the dtic** Project Manager will review, evaluate and approve the services provided by the Service Provider against the Service Level Agreement on an ongoing basis and prior to payment is made;
- 9.3 **the dtic** will supply all reasonable, relevant, available data and information required and requested by the Service Provider for the proper execution of the services and such assistance as shall reasonably be required by Service Provider in carrying out their duties under this contract. However, the SRP is required to source the other data on its own, where such data is reasonably available.

10. OBLIGATIONS OF APPOINTED PARTNERS

- 10.1 The appointed partners undertake to act as independent contractors in respect of the work;

- 10.2 Work closely with the Project Manager responsible for the project in **the dtic**;
- 10.3 Attend meetings when required by the Project Manager to obtain information or advice with regard to the work and assignments or any matters arising from or in connection therewith;
- 10.4 Be responsible for its own computers and technical infrastructure to adequately perform all the functions;
- 10.5 Exercise all reasonable skill, care and diligence in the execution of the work and shall carry out their obligation in accordance with professional standards **and always ensure the factual correctness and credibility of the research data provided to the dtic.**
- 10.6 In all professional matters, act as a faithful partner and advisor to **the dtic**, as well as respecting the laws and customs of any country and provinces in which any business in relation to the project is conducted;
- 10.7 Keep all information availed in the course of the project confidential and as the property of **the dtic**;
- 10.8 Sign a confidentiality declaration form, undertaking to keep all the information at his/her disposal as a result of being awarded the contract by **the dtic** strictly confidential;
- 10.9 Not disseminate any information gathered during the conduct of the project, publicize or release media statements in relation to the assignment;
- 10.10 Not distribute without prior written approval of **the dtic**, any information gathered during the conduct of the assignment as such information will remain the property of **the dtic**
- 10.11 Deemed to have been satisfied as to the correctness and sufficiency of the rates and prices set out in their bid for the services to be rendered;
- 10.12 Must plan and provide for all possible risks that may affect the delivery of the project on time and indicate what mechanisms are in place to manage such risks.

11. BID SUBMISSION

- 11.1 The 80/20 principle and two envelope / file system will apply in evaluating the proposals in accordance with the Preferential Procurement Policy Framework Act; Act no 5 of 2000 its subsequent Regulations and the SCM and Preferential Procurement Policy of **the dtic**. The reason for applying the two envelope / file system is to ensure that price does not influence the evaluation of the functional proposals.
- 11.2 **Two-envelope system**
The two-envelope system is based on the submission of the functional and financial proposals in **two separate envelopes/files**. **NO financial / pricing information may be contained in the functional envelope as this will lead to automatic disqualification.** Submission must be done as follows:

11.2.1 **Functional proposal**: Bidders must submit their functional proposal in a sealed envelope/file indicating the following details on the envelope/file:

- (a) Name of the bidder
- (b) Functional proposal.
- (c) The name of the **Theme** the bidder is applying for.
- (d) Bid Number.
- (e) Closing date and time.

This envelope / file should only contain the functional proposal and compulsory forms; SBD 1, 4, 6.1 and General Conditions of Contract; as well as the relevant proof to substantiate claims for preference points. **Bidders MUST indicate in the functional proposal the name of the theme the functional proposal is submitted for.** Financial information in a functional proposal will lead to automatic disqualification of that specific proposal.

11.2.2 **Financial proposal**: Bidders must submit their **SBD 3.3** pricing schedule in a separate sealed envelope/file indicating the following details on the envelope/file:

- (a) Name of the bidder.
- (b) Financial proposal
- (c) The name of the **Theme** the bidder is applying for.
- (d) Bid Number.
- (e) Closing date and time.

11.2.3 FAILURE TO COMPLY WITH THE TWO ENVELOPE / FILE REQUIREMENT WILL AUTOMATICALLY INVALIDATE A BID.

- 11.3 Bidders must submit separate bids if bidding for more than one **Theme**.
- 11.4 Each envelope must be clearly marked with the **Name of the Theme** for which a proposal is submitted.
- 11.5 Functional proposals should conform to the format in Annexure A of this TOR and **clearly specify in the proposal the theme the proposal is based on.**
- 11.6 **FAILURE TO INDICATE THE THEME A BIDDER IS APPLYING FOR WILL LEAD TO AUTOMATIC DISQUALIFICATION AS IT WILL NOT BE POSSIBLE TO EVALUATE THE BID WITHOUT THIS INFORMATION.**
- 11.7 All bids will be evaluated in terms of the **two-phase** process once the pre-qualifying of bids received is done. All bids received will be subjected to a pre-qualification process to determine compliance with compulsory requirements/conditions. All bids that pass the pre-qualification process will then be evaluated as follows:

11.7.1 **First phase: Functional evaluation.** This evaluation is based on the functional proposal submitted in envelope one (functional envelope). For this phase, there is a cut-off score of **70%** and only the proposals that score **70%** and above during the functional evaluation will be considered during the second phase of evaluation.

11.7.2 **Second phase: Price and preferential procurement.** During the second phase, all bids that scored **70%** and above during the first phase (functional evaluation) will be considered for the second phase where points will be calculated for price and preferential procurement goals in accordance with the latest PP Regulations pertaining to the Preferential Procurement Policy Framework Act, Act no 5 of 2000 and **the dtic SCM Policy / Preferential Procurement Policy**. **Only the top three bidders scoring the highest total points per Theme will be appointed to the contract.**

12 EVALUATION CRITERIA

The following two (2) stage process in the evaluation of proposals received shall apply:

12.1 EVALUATION OF ADMINISTRATIVE COMPLIANCE

12.1.1.1 All proposals received shall be evaluated for Administrative / Mandatory Compliance in terms of the requirements, and conditions in the TOR required for evaluation as set out in the returnable schedule marked as Annexure A in the TOR.

12.1.1.2 Service providers are required to submit information in the prescribed format for the evaluation.

12.2 STAGE 1: FUNCTIONAL EVALUATION

12.2.1 The bidder is required to submit all information required for the functionality evaluation.

12.2.2 This evaluation is based on the functional proposal submitted in envelope one (functional envelope). For this phase there is a cut-off score of **70%** and only the proposals that score **70%** and above during the functional evaluation will be considered during the second phase of evaluation.

The evaluation criteria and maximum score in respect of each of the criteria are as follows:

| QUALITY CRITERIA | MAX. NUMBER OF POINTS |
|---|-----------------------|
| Team Leader's Qualifications & Relevant Experience | 35 |
| Senior Team Members' Qualifications and Relevant Experience | 45 |
| Bidding Organisation's Relevant Experience | 20 |
| TOTAL | 100 |

12.3 Evaluation Criteria

| No. | Criteria | Points | Maximum number of Points |
|----------------------------|---|-------------|--------------------------|
| 1. | Team Leader Qualifications & Relevant Experience (See paragraph 6 of the ToR) | 35 | |
| 1.1 | Team Leader's qualifications in the specific research theme. | 5 | 5 |
| | PhD Degree | 5 | |
| Minimum requirement | Master's Degree | 3.5 | |
| | Honours Degree | 3 | |
| | Bachelor's Degree | 0 | |
| 1.2 | Team Leader's relevant experience in the specific research theme. | 15 | 15 |
| | Have 25 years experience and more | 15 | |
| Minimum requirement | Have 20 to 24 years experience | 10.5 | |
| | Have 15 to 19 years experience | 7 | |
| | Have 10 to 14 years experience | 5 | |
| | Less than 10 years experience | 0 | |
| 1.3 | Team Leader's in-depth knowledge of South Africa's industrial policy environment. | 15 | 15 |
| | At least 6 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 15 | |

| | | | |
|----------------------------|--|-------------|-----------|
| Minimum requirement | 5 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 10.5 | |
| | 4 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 7 | |
| | 3 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 5 | |
| | 2 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 0 | |
| 2. | Senior Team Members' Qualifications and Relevant Experience (See paragraph 6 of the ToR) <i>Where more than three (3) senior team members are submitted only the credentials of the first three will be considered for evaluation</i> | 45 | 45 |
| 2.1 | Senior Team Member A | | |
| 2.1.1 | Qualifications | 5 | 5 |
| | At least a Master's Degree | 5 | |
| Minimum requirement | Honours Degree | 3.5 | |
| | Bachelor's Degree | 3 | |
| | Below Bachelor's Degree | 0 | |
| 2.1.2 | Relevant Experience | 5 | 5 |
| | Have at least 10 years experience | 5 | |
| Minimum requirement | Have 5 to 9 years experience | 3.5 | |

| | | | |
|----------------------------|---|------------|----------|
| | Have 3 to 4 years experience | 3 | |
| | Less than 3 years experience | 0 | |
| 2.1.3 | In-depth knowledge of the Theme | 5 | 5 |
| | At least 5 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 5 | |
| | Four (4) executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 4 | |
| Minimum requirement | Two (2) executive summaries of research reports completed/produced, and or peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 3.5 | |
| | One (1) executive summary of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 2 | |
| | No executive summary of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 0 | |
| 2.2 | Senior Team Member B | | |
| 2.2.1 | Qualifications | 5 | 5 |
| | At least a Masters Degree | 5 | |
| Minimum requirement | Honours Degree | 3.5 | |
| | Bachelor's Degree | 3 | |

| | | | |
|----------------------------|---|------------|----------|
| | Below Bachelor's Degree | 0 | |
| 2.2.2 | Relevant Experience | 5 | 5 |
| | Have at least 10 years experience | 5 | |
| Minimum requirement | Have 5 to 9 years experience | 3.5 | |
| | Have 3 to 4 years experience | 3 | |
| | Less than 3 years experience | 0 | |
| 2.2.3 | In-depth knowledge of the Theme | 5 | 5 |
| | At least 5 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 5 | |
| | Four (4) executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 4 | |
| Minimum requirement | Two (2) executive summaries of research reports completed/produced, and or peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 3.5 | |
| | One (1) executive summary of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 2 | |
| | No executive summary of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 0 | |
| 2.3 | Senior Team Member C | | |

| | | | |
|----------------------------|---|------------|----------|
| 2.3.1 | Qualifications | 5 | 5 |
| | At least a Master's Degree | 5 | |
| Minimum requirement | Honours Degree | 3.5 | |
| | Bachelor's Degree | 3 | |
| | Below Bachelor's Degree | 0 | |
| 2.3.2 | Relevant Experience | 5 | 5 |
| | Have at least 10 years experience | 5 | |
| Minimum requirement | Have 5 to 9 years experience | 3.5 | |
| | Have 3 to 4 years experience | 3 | |
| | Less than 3 years experience | 0 | |
| 2.3.3 | In-depth knowledge of the Theme | 5 | 5 |
| | At least 5 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 5 | |
| | Four (4) executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 4 | |
| Minimum requirement | Two (2) executive summaries of research reports completed/produced, and or peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 3.5 | |
| | One (1) executive summary of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 2 | |

| | | | |
|----------------------------|---|------------|-----------|
| | No executive summary of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 5 years, accompanied by reference letters/certificates of completion if not a publication | 0 | |
| 3. | Bidding Organisation's Relevant Experience and Research Evidence (See paragraph 6 of the ToR) | 20 | 20 |
| | At least 8 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 10 years, accompanied by reference letters/certificates of completion if not a publication | 20 | |
| Minimum requirement | Five (5) to 7 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 10 years, accompanied by reference letters/certificates of completion if not a publication | 14 | |
| | Three (3) to 4 executive summaries of research reports completed/produced, and or peer-reviewed publications in accredited journals published, in the past 10 years, accompanied by reference letters/certificates of completion if not a publication | 10 | |
| | One (1) to 2 executive summaries of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 10 years, accompanied by reference letters/certificates of completion if not a publication | 5 | |
| | No executive summary of research reports completed/produced, and or abstracts of peer-reviewed publications in accredited journals published, in the past 10 years, accompanied by reference letters/certificates of completion if not a publication | 0 | |
| | TOTAL | 100 | |

12.4 STAGE 2: EVALUATION OF PRICE & PREFERENCE POINT SYSTEM

Price and preferential procurement. During the second phase all Bids that scored **70%** and above during the functional evaluation will be considered for the second phase where points will be calculated for price and preferential procurement scores in accordance with the latest PP Regulations pertaining to the Preferential Procurement Policy Framework Act, Act no 5 of 2000 and **the dtic** SCM Policy / Preferential Procurement Policy

| | 80/20 PRINCIPLE | POINTS |
|----------|---|---------------|
| 1 | <u>Price</u> | 80 |
| 2 | <u>Maximum Preferential procurement points as claimed on the SBD 6.1 form and substantiated by relevant evidence.</u> | 20 |
| | MAXIMUM POINTS | 100 |

13. CONTRACTUAL PERIOD

- 13.1 The contract period is 3 years. The commencement and end dates will be specified in the Service Level Agreements that will be signed between **the dtic** and all appointed strategic research partners.

NB: Only the top three bidders scoring the highest total points per Theme will be appointed.

14. ENQUIRIES AND CONTACT DETAILS

- 14.1 All technical questions should be directed in writing to the following official:

Mr. Nkosiymzi Madula

Research Branch

Department of Trade, Industry and Competition

Email: NMadula@thedtic.gov.za

- 14.2 All bid-related questions should be directed in writing to the following official:

Mrs. Y Cronje

Office of the Chief Financial Officer (OCFO)

Department of Trade and Industry

Block B (Entirweni), First Floor, **the dtic** campus

77 Meintjies Street, Sunnyside, 0002

Email: Ycronje@thedti.gov.za

15. ANNEXURE A: FORMAT AND CONTENT OF PROPOSALS

To facilitate ease of evaluating submitted bids, bidders must submit their functional/technical proposal in the following format.

PROPOSAL COVER/TITLE PAGE:

- a) **Title:** Strategic Research Partners to the Department of Trade, Industry and Competition
- b) **Research Theme:** Name of the Theme the bidder is applying for (**Please note that Failure to indicate for which Theme/s a bidder is applying for will lead to disqualification as it will not be possible to evaluate the bid without this information.**)
- c) **Bid Number:** (as specified in the tender document)
- d) **Name of Bidder:** (Name of the bidding organisation)
- e) **Contact details:** (Physical address, telephone numbers and email address)
- f) **Closing Date:** (Closing date as specified in the tender document)

SECTION 1: INTRODUCTION & BACKGROUND

- a) Purpose of the proposal
- b) Brief description of the bidding organisation (e.g. type of business, core business, services, areas of specialisation, achievements etc.).
- c) Specification of the research **Theme** the bidder is applying for.

SECTION 2: DISCUSSION OF THE RESEARCH THEME

In this section, the bidder must discuss the research **Theme** and its importance to the mandate of **the dtic**; recent developments, trends, debates, methodologies, and other relevant topics in this Theme. The purpose of this section is for the bidder to demonstrate that they are experts/authorities in the field.

SECTION 3: RELEVANT QUALIFICATIONS, EXPERIENCE AND EXPERTISE OF THE PROPOSED TEAM

This section should provide **relevant qualifications and experience of the team** (1 Team Leader and 3 Senior Team Members). These should be summarised in a table and followed

by a detailed description of the relevant experience of each proposed key team member in line with the requirements outlined in Section 6 of the TOR.

| Name of team leader/Senior team members | Highest Relevant Qualification | Areas of Specialisation | Years of experience | Proposed position ¹ |
|---|--------------------------------|--|---------------------|--------------------------------------|
| e.g. J. Navashu | PhD (Economic) | Industrial/sectoral policy research and design Industrial/sectoral policy development Development of sector strategies or developmental programmes | 20 20 15 | Team Leader/Senior Team Member A/B/C |

Relevant projects and publications completed by the Team Members (5 for the Team Leader and 2 for each of the Senior Team Members) should be presented as follows. In addition, executive summaries should be submitted with the bid as evidence ².

| Name of team member | Name of project/ publication | Objectives of the project/ publication | Client contact details/ publisher | Dates conducted/ published |
|---------------------|---|---|-----------------------------------|----------------------------|
| e.g. J Navashu | Development of an industrial finance strategy | To conduct a detailed analysis of the industrial financing landscape and develop a sustainable model for South Africa | The government's economic cluster | June 2014 – Jan 2015 |

SECTION 4: RELEVANT EXPERIENCE OF THE BIDDING ORGANISATION

Outline the relevant **Theme** experience of the bidding organisation as well as experience in working with and advising the South African government.

¹ Where more than three (3) senior team members are submitted only the credentials of the first three will be considered for evaluation.

² Where the report/publication was co-authored, an individual member should indicate his/her contribution

A list of 5 research reports completed/produced, and or peer-reviewed publications in accredited journals published by the bidding organisation in the relevant research Theme/s in the past 10 years accompanied by reference letters/certificates of completion if not a publication and 2 advisory assignments undertaken to advise government should be provided as per the table below. In addition, executive summaries should be submitted with the bid as evidence, in the following format research reports and advisory assignments

| Name of project | Client & contact details | Objectives of the project | Dates conducted | The outcome of the research reports |
|---|-------------------------------------|---|------------------------|---|
| e.g. Analysis of compliance of local government with the Municipal Finance Management Act | National Treasury | To determine compliance of municipalities' financial management practices to the MFMA and make recommendations for improving compliance | June 2012 – Jan 2013 | As a result of the findings and recommendations of the study, NT developed a training programme for municipal accounting officers |

| Name of project | Client & contact details | Objectives of the project | Dates conducted | The outcome of the advisory assignments |
|--|-------------------------------------|---|------------------------|--|
| e.g. Advising the government on how to address local government non-compliance with the Municipal Finance Management Act | National Treasury | Streamlining steps or processes, and the implementation thereof, to enhance compliance of municipalities' financial management practices to the MFMA. | June 2012 – Jan 2013 | As a result of these advisory services compliance was achieved |

SECTION 5: CONCLUSION

A concluding paragraph should reiterate the bidder's interest in the assignment and highlight any additional information that might strengthen their bid.

SECTION 6: ANNEXURES TO BE ATTACHED

- a) CVs and certified copies of the qualifications of all proposed team members.

- b) Evidence of reports or publications, in the form of executive summaries, abstracts, and links to the reports and publication, by the proposed Team Leader, Senior Team Members, and the bidding organisation.